



**輝立商品(香港)有限公司**  
**PHILLIP COMMODITIES (HK) LTD.**  
EXCHANGE PARTICIPANT OF HONG KONG FUTURES EXCHANGE L

To: Phillip Commodities (HK) LTD.

12/F, United Centre, 95 Queensway, Hong Kong

**PATS (HK) Client's Agreement**

I hereby apply for the Foreign Futures Professional Trading Platform – HK-PATS. I understand, accept and agree to be bind by all terms and conditions relating to the use of electronic trading service (see “FUTURES CLIENT AGREEMENT” Schedule 1: On-line Trading Agreement, and Schedule 3: Risk Disclosure statements and Disclaimers) as well as the following clauses:

1. IF I failed to perform any trade for any consecutive two months, Phillip Commodities (HK) Ltd. can terminate my HK-PATS account.
2. Phillip Commodities (HK) Ltd. reserves the ultimate rights to terminate this agreement and/or my HK-PATS account without my prior approval.
3. For the HK-PATS service termination, I would give 7 business days prior notice by faxing or emailing the “PATS Termination Form” to Phillip Commodities (HK) Ltd.. In addition, I undertake the responsibilities to contact Phillip Commodities (HK) Ltd. by phone to confirm receive of the Form.
4. Phillip Commodities (HK) Ltd. will debit my account with the monthly fee for HK-PATS at the beginning of each month and will refund part of or the entire monthly fee by waiving the amount in the monthly fee for the coming month (monthly fee will not be waived IF no trade were performed in the month.) Please refer to the clause 7 for details of the charging policy.
5. I would not be refunded any amount of the monthly fee already charged upon termination of the HK-PATS services.
6. Phillip Commodities (HK) Ltd. will cancel my FATS / POEMS Foreign Futures Trading Platform which is in used, after the PATS system is activated.
7. a) IF my Paid Commission for the month is less than USD50, I would be charged “USD50 minus the amount of Paid Commission” as the monthly for HK-PATS.  
b) IF my Paid Commission for the month is more than USD50, the HK-PATS service for that month will be free of charge.

**Reminder**

- a. For stability reason, Phillip Commodities (HK) Ltd. recommend clients to only place the following type of orders through the trading platform: “Market Order”, “Limit Order”, “Stop Order” and “Stop Limit Order”.
- b. System Maintenance Period will be implement everyday at around Summer Time: 5:30a.m. - 6:00a.m. / Winter Time: 6:30a.m. - 7:00a.m. Clients will not be able to place any order during these periods.
- c. After System Maintenance, Client's position and equity may not be correct.
- d. Regarding to point c, clients are required to logout and login again after 9:00a.m. for the right position and equity to appear.
- c. Client should have the responsibility to check all the trading details on trade confirmation, daily statement, and monthly statement carefully. For any discrepancy, client should prepare a written report on the error and submit it to Phillip Commodities (HK) Ltd. within 3 business days after the trade has been completed.
- d. If orders are placed through the dealing desk, please mention which platform (i.e. PATS or P-TRADER) you are using. Otherwise, Outstanding Order and/or Done order may not be displayed or calculated accurately on that date. This may lead to the rejection of new order or over trade.
- e. For the security reason, all new clients are recommended to change their own passwords during their first-time login.
- f. For any enquiry relating to the trading platform, please call Foreign Futures Department. Tel: 2277 6677.
- g. Phillip Commodities (HK) Ltd. reserves the rights to amend the above Reminders without any notice to the clients.

A/C number : \_\_\_\_\_  
Name of Client : \_\_\_\_\_  
Client's Signature : \_\_\_\_\_  
Date : \_\_\_\_\_  
Name of Staff : \_\_\_\_\_

To apply for the use of HK-PATS services, please fill in and return the Agreement to Phillip Commodities (HK) Ltd. via email or fax.

Email address: [futures@phillip.com.hk](mailto:futures@phillip.com.hk)  
Fax no.: (852) 2277-6866.

For any enquiries, please call (852) 2277-6677.

P.S. Application process requires around 5 working days

**OFFICE USE ONLY:**  
**CHECKLIST (please tick the check boxes if done)**

Fill and fax POEMS Professional request form to Singapore.

- ☐ Send Email to Singapore Confirm the form received.
- ☐ Issue **Username** and **password**
- ☐ Update client's information in CQ futures.
- ☐ Disable Foreign Futures Function on POEMS or FATS.

Received by : \_\_\_\_\_  
Date : \_\_\_\_\_  
Reviewed/Verify by : \_\_\_\_\_  
Date : \_\_\_\_\_

Last Update: Apr. 2009