

Application Form of Options Advanced Trader System (Only Accepts Original Copy)

To : Phillip Securities (HK) Ltd

11/F, United Centre, 95 Queensway, Hong Kong

Please read the provisions of the On-line Trading Agreement as set out in Schedule 1 before applying the Options Advanced Trader System. Please complete the application form, send to us by post or in person. For enquiry, please contact our hotline at (852) 2277-6661 or email to ats@phillip.com.hk

Options Advanced Trading System (OATS)

Free Trial Period	14 days after first time activation (including Saturday, Sunday and public holidays). OATS service will continue after free trial period until it is cancelled by prior notice (whether verbal or in writing)
Service Fee	HK \$300 per month <div style="text-align: center;">■ The cut-off day of OATS Service is the last day of each calendar month.</div>
Service Fee Waiver Scheme	Monthly fee will be waived if equivalent options commission is generated in the previous calendar month.

- Remarks:
- Should you wish to terminate the service, please kindly call 2277-6661 on or before next month start (the first day of each month). Otherwise, OATS Service will be automatic renewed
 - All Service Fees are non-refundable
 - Client is required to pay the difference between service fee & commission in case that the commission does not meet the above requirement
 - If client's account balance amount is not enough to pay for OATS service fee, OATS service will be suspended promptly.

Payment Method

I authorize the payment to Phillip Securities (HK) Ltd for the service of Options Advanced Trading System from my securities account.

I understand the OATS Terms and Conditions and provisions of On-line Trading Agreement as set out in Schedule 1 and accept to be bound by them.

A/C No. (must fill in)		AE No	
Client Name		AE Signature	
Client Signature		Date	____ (yy) ____ (mm) ____ (dd)

For Office Use only

Client info. Verified by	Approved by:	
Name of staff:	Signature:	Date:
Effective Date:		