



輝立証券(香港)有限公司

PHILLIP SECURITIES (HK) LTD.

EXCHANGE PARTICIPANT OF THE STOCK EXCHANGE OF HONG KONG LTD.
TEL: (852) 2277 6600 FAX: (852) 2277 5307 CE No. AAG 460

Date: ____/____/____
DD MM YYYY

Client A/C: _____ Client Name: _____ AE Code: _____

Instruction Type: Deliver / Receive
Payment Instruction Delivery Versus Payment / Free of Payment

Counterparty's Name: _____

Counterparty's A/C No.: _____

Counterparty Contact: Name: _____ Tel: () _____

Email: _____

Market: SG / US / Japan / Other: _____

SG Market - CDP No./ DA Code : _____

US Market: Direct Registration System (DRS) / Deposit & Withdrawal at Custodian (DWAC)
 Broker-to-Broker DTC Code _____

Valued Date: ____/____/____
DD MM YYYY

Stock Code	ISIN	Stcok Name	Quantity

CLIENT DECLARATION – Please Read the Following Statements Carefully

Transfer with no change of beneficiary owner Confirmed Not Confirmed

Are any of the stocks above classified as “control / restricted securities”? Yes No

Are you an “affiliate*” of any companies listed above? Yes No

Official Use Only		
Signature(s) of Account Holder(s)	Remark:	PSHK Holdings divided by Shares Outstanding: _____ %
	S.V. _____	Approved by FS Manager: _____ Name _____

Note: Please be reminded to give proper instruction to your broker / custodian bank for this transfer. By signing this instruction, the account holder(s) agree to pay for all relevant handling fee (if any) necessary to complete the transfer.

*According to SEC Rule 144, an affiliate is a person, such as an executive officer, a director or large shareholder or legal entity, who may influence control with the issuer. Control means the power to direct the management and policies of the company in question, whether through the ownership of voting securities, by contract, or otherwise.