



輝立証券(香港)有限公司
PHILLIP SECURITIES (HK) LIMITED
(PARTICIPANT OF THE STOCK EXCHANGE OF HONG KONG LIMITED)

PARTICIPATION IN/CHANGE OF REGULAR INVESTMENT PLAN INSTRUCTION FORM
基金月供投資計劃申請/修訂表格

PARTICULARS OF APPLICANT 申請人資料

Full Name of Main Applicant 主申請人姓名:	* 先生/太太/小姐/女士	*Mr / Mrs / Miss / Ms
Full Name of Joint Applicant 聯名申請人姓名:	* 先生/太太/小姐/女士	*Mr / Mrs / Miss / Ms
Account No. 戶口號碼:	AE Code 經紀代號:	

NEW REGULAR INVESTMENT PLAN 申請月供投資計劃

Name of Fund 基金名稱	Monthly Savings 月供金額	Effective Date 生效日期
	HKD 港元	
	HKD 港元	
	HKD 港元	
	HKD 港元	

Terms and Conditions 條款及細則 (*Please delete as appropriate 請刪去不適用者)

- This serves as a standing instruction given to Phillip Securities (HK) Ltd to invest in the prescribed securities in the manner as described in this application.
輝立証券(香港)有限公司將根據此常行指示,投資上列有關投資總額。
- The minimum investment is HK\$1,000 per month per fund.
最低投資額僅為每月每項 1,000 港元。
- The company shall have right to terminate the applicant's participation in Regular Investment Plan in the event the applicant fails to make any monthly contribution.
若申請人未能支付任何月供款項,本公司有權終止申請人參與之定期儲蓄計劃。
- Monies will be contributed by way of a Direct Debit to the applicant's *bank account / Phillip HK Securities account. Direct Debit from bank will be effected on *the fifth business day of each month. The company will normally invest the applicant's monthly contribution on the seventh business day.
供款將以直接從申請人之銀行戶口* / 輝立香港股票戶口支賬方式支付。款項將於*每月第五個營業日由銀行戶口直接支付。本公司在第七個營業日將申請人的供款用作投資。
- Participation in Regular Investment Plan is free of charge. However, subscription in a fund is subject to the fees and charges of the relevant fund.
申請定期儲蓄計劃無須任何費用,但須繳付認購基金之費用。

PAYMENT METHOD FOR INVESTMENT 投資的付款方法

Debit from my HK securities account (HKD) 於本人之香港股票戶口內扣除 (港元)

Set up Direct debit Authorization from designated bank account 通過銀行進行自動轉賬

(因私隱條例關係,輝立証券(香港)有限公司(本公司)無法取得閣下於銀行申請自動轉賬的批核情況。煩請閣下自行將自動轉賬申請表格(正本)交予付款銀行。閣下於收到銀行之確認通知後,請即聯絡本公司以便開始執行下月之自動轉賬服務。若終止自動轉賬指示,本公司必須在轉賬日最少五個工作天前收到書面通知。)

CHANGE OF MONTHLY CONTRIBUTION 更改每月供款

Effective From 生效日期由 [] MM 月 [] YY 年

Name of Fund 基金名稱	Original Investment Amount 原有投資金額	New Investment Amount 新投資金額
	HKD 港元	HKD 港元
	HKD 港元	HKD 港元
	HKD 港元	HKD 港元
	HKD 港元	HKD 港元

SUSPENSION OF REGULAR INVESTMENT PLAN 暫停月供投資計劃

- I hereby terminate all my Regular Investment Plan, effective immediately.
本人在此申請,由即日起,請終止本人/吾等所有的**基金月供投資計劃**

DECLARATION BY APPLICANT 申請人聲明

Terms and Conditions 條款及細則：

1. I/We have received, read and fully understood the Terms and Conditions governing Phillip Securities (HK) Limited Investment Accounts in respect of Fund ("Term") (a copy of which has been given to me/us). I/We agree to be bound by such Terms
本人/吾等已經收到、閱讀及完全明白輝立證券(香港)有限公司的基金單位投資戶口的條款及條件(一份副本已經給予本人/吾等)，本人/吾等同意遵守此等條款及條件。
2. I/We understand that Fund units are not bank deposits and are not endorsed or guaranteed by, and do not constitute obligation of Phillip Securities (HK) Limited or its affiliates.
本人/吾等明白單位信託基金不是銀行存款，及不會受到輝立證券(香港)有限公司或其他關連公司所保證，或構成任何責任。
3. I/We have obtained from either Phillip Securities (HK) Limited or the relevant Fund up-to-date versions of the applicable prospectus, explanatory memorandum or reports, and have read and understood such documents.
本人/吾等已經從輝立證券(香港)有限公司或有關基金取得最新的發行章程、基金說明書或報告，並已經閱讀及明白這些文件。
4. I/We understand that the past performance of any Fund is not a guarantee of its future performance and that the value of units of any Fund may go down as well as up.
本人/吾等明白任何基金過去表現不是該基金將來表現的保證，基金的價格可升亦可跌。
5. I/We declare that I/we are not citizen(s) or resident(s) of United States of America.
本人/吾等在此聲明本人/吾等不是美國的公民或居民。
6. In addition to the fees payable by you, Phillip Securities (HK) Limited may from time to time (to the extent not prohibited by any applicable law) receive and retain commissions (up to 4% of investment amounts), trailer fees (up to 0.5% of Funds value) and/or other monies in connection with your investment in Funds which are attributable to the services provided by Phillip Securities (HK) Limited pursuant to the Terms. You consent to Phillip Securities (HK) Limited receiving and retaining such commissions, trailer fees and/or other monies, and understand that this shall not be construed as giving rise to any breach of fiduciary duty or equitable duty that Phillip Securities (HK) Limited may owe to you.
除閣下須支付的費用外，輝立證券(香港)有限公司可不時(在適用法律不禁止的範圍內)收取和保留佣金(不多於投資金額的4%)、服務佣金(不多於基金價值的0.5%)，以及有關閣下對基金作出投資和本公司根據本條款提供服務而徵收的其他款項。閣下同意輝立證券(香港)有限公司收取和保留該等佣金、服務佣金及/或其他款項。輝立證券(香港)有限公司收取或保留該等佣金、服務佣金及/或其他款項，不得解釋為輝立證券(香港)有限公司違返可能對閣下負有的誠信責任或衡平法上的責任。
7. I/We have filled in the Risk Profile Questionnaire (RPQ) on ____ (DD) ____ (MM) ____ (YYYY), and my/our risk level was LOW/ MEDIUM/ HIGH* (please delete as appropriate). If the risk tolerance with the Fund do not match with my/our risk tolerance level, the reason I insist to proceed with the subscription is:
本人同意於____年____月____日填寫的「風險承受能力問卷」得出本人對投資風險取向的態度為【低風險/中風險/高風險 (請劃去不適用)】。如問卷的結果與此基金涉及的風險不符，本人堅持購買此基金的原因是：

8. The above-mentioned subscription amount occupies _____% of my/our liquid assets.
買賣此基金佔本人現時的流動資產為_____%

Signature of Main Applicant 主申請人簽署

Date 日期

Signature of Joint Applicant 聯名申請人簽署

Date 日期

DECLARATION BY AGENT 客戶主任聲明 (供內部填寫)

Details 細節	Confirmation 確認 (備註#)
✧ Had explained the risks involved in the above-mentioned Fund to client(s) 清楚向客戶解釋此基金所涉及的風險	<input type="checkbox"/> Yes 是
✧ Had fully complied with "Code of Conduct" of SFC, particularly to the suitability obligations. 完全遵守證監會的<<操守準則>>，特別是有關合適程度的規定	<input type="checkbox"/> Yes 是
✧ In case the risks involved in subscribed Fund do not match with the client(s) risk tolerance level, the client(s) has / have provided reason(s) of such subscription. 如問卷的結果與上述(認購)基金涉及的風險不符，客戶已解釋堅持購買的原因	<input type="checkbox"/> Yes 是
✧ Ensure the information filled by the client(s) is valid and complete 客戶遞交的表格資料正確及完整	<input type="checkbox"/> Yes 是
_____ Signature of Agent 客戶主任簽署	_____ Date 日期

Phillip Securities (HK) Limited may reject the application, if the form is not complete
如任何答案沒有確認為「是」，本公司保留不接納客戶的申請之權利

RETURN THE FORM TO 表格交回

Phillip Securities (HK) Limited 11/F, United Center, 95 Queensway, Admiralty, Hong Kong Attn: Unit Trust Department Fax: 2287-4554 Email: SBP@phillip.com.hk	輝立證券(香港)有限公司 香港金鐘道95號統一中心11樓 基金投資服務部收 傳真: 2287-4554 電郵: SBP@phillip.com.hk
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