



EUREX PRIVATE INDIVIDUAL SELF-CERTIFICATION FORM

戶口名稱 Account Name:

戶口號碼 Account No:

經紀名稱 A.E. Name:

經紀編號 A.E. No:

Based on the Subscriber's qualifications, Subscribers may be charged reduced Fees for Information. To qualify for the reduction in Subscriber Fees, Subscriber must be considered a "Private Individual."

A Subscriber is a Private Individual if all the following is met:

- a) The Subscriber is a natural person;
- b) the Subscriber does not operate a credit institution or financial services institution;
- c) the Subscriber will use the Information exclusively for his/her private purposes, such as, in particular, the management of his/her own private assets;
- d) the Subscriber will not use the Information for business purposes, such as commercial securities trading or the professional management of third-party assets, or for his/her activities with a credit institution, a financial services institution or any other company which is subject to any domestic or foreign banking, stock exchange, securities trading or investment supervision;
- e) the Subscriber will also not use the Information in any other manner for the purposes of third parties, such as, for instance, the non-professional management of third-party assets or within the framework of a non-commercial investment club; and
- f) the Subscriber will not distribute the Information onward to third parties and will not make the Information available to third parties, in particular such third parties which do not qualify as Private Individuals.

Any Subscriber who does not meet the qualifications of a Private Individual Subscriber will be considered a Professional Subscriber.

Notwithstanding anything herein, Deutsche Börse AG reserves the right in all cases to make a final determination as to whether a Subscriber is a Private Individual or a Professional. Deutsche Börse AG reserves the right to amend this policy or terminate reduced fees for Private Individuals at any time.

Subscribers who qualify as Private Individuals should sign below and return this Self-Certification form to Distributor. Please note that this form may be subject to Distributor's review and approval. Subscriber must notify Distributor as soon as is reasonably practicable in the event that Subscriber no longer qualifies as a Private Individual.

DECLARATION AND SIGNATURE

I/We hereby certify that I/we qualify as a Private Individual pursuant to the definition described herein and undertake to notify Distributor immediately should there be any change in my status as a Private Individual.

個人/ 主要帳戶申請人簽署
 Individual/Primary Joint Account Subscriber's Signature

聯名帳戶第二帳戶申請人簽署
 Secondary Joint Account Subscriber's Signature

申請人姓名
 Individual/Primary Joint Account Subscriber's name

申請人姓名
 Secondary Joint Account Subscriber's name

日期 Date

日期 Date

只供本行使用 For Official Use Only

申請人資料核對:
 Subscriber information checked by:

日期:
 Date:

備註:
 Remarks:

以下為中文翻譯版本，只供參考，所有內容以英文版本為準。請以英文版本遞交回輝立。

歐洲期貨交易所私人個人自我認證表格

根據訂閱用戶的資歷，訂閱用戶就資料所付的費用可獲減免。為合資格獲得減免訂閱費用，訂閱用戶必須被視為一名「私人個人」。

訂閱用戶如符合以下條件即被視為一名私人個人：

- a) 訂閱用戶為一名自然人；
- b) 訂閱用戶並無經營信貸機構或金融服務機構；
- c) 訂閱用戶將只會以資料用於私人用途，例如管理其自有私人資產；
- d) 訂閱用戶將不會使用資料作商業用途，例如商業證券買賣或專業管理第三方資產，或其涉及信貸機構、金融服務機構或須受任何當地或外國銀行業、證券交易所、證券買賣或投資監督規管的任何其他公司的活動；
- e) 訂閱用戶亦將不會將資料用於與第三方的目的有關的任何其他方式，例如非專業管理第三方資產或在非商業投資組織的架構內使用；及
- f) 訂閱用戶將不會將資料轉發予第三方及將不會向第三方提供資料，特別是不符合私人個人資格的第三方。

不符合私人個人用戶資格的用戶，將被認為是專業用戶。

即使在本合同中的任何條款下，德意志交易所集團有權在所有情況下作出最終決定，用戶是否作為一個私人個人或專業用戶的定義。德意志交易所集團保留在任何時間修改本政策或終止非專業用戶較低的費用權利。

有資格作為私人個人用戶應該在下面簽名並遞交自我認證表格回發佈商。請注意，本表格可能會受到發行商的審查和認可。若用戶不再具有作為一個私人個人用戶的資格，用戶必須在合理可行的情況下，盡快通知發佈商。

聲明及簽署

我/我們特此證明，我/我們按照本文所述的定義有資格作為一個私人個人，並承諾在我的私人個人身份發生任何變化時立即通知經銷商。