



輝立証券(香港)有限公司
Phillip Securities (HK) Limited

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CE No. AAG460

Indemnity Form: Deposit of Share Certificate 存放股票免責聲明書

戶口持有人姓名
Accountholder Name:

戶口號碼
Account No:

I/We hereby instruct your Company to deposit the following shares/stocks into the above account 本人/吾等特此通知
貴公司將下列股票存放至以上戶口:

股份持有人姓名
Name of Shareholder:

公司名稱
Name of Company:

股份證書编号
Share Certificate Numbers:

總數
Total Quantity:

I/We declare that the above shareholder is the beneficial holder(s) of the stated shares/stocks.

I/We acknowledged and agreed:

- (i) 貴公司為客戶存放的股份證書之風險將由閣下/吾等完全承擔，及貴公司將不會對閣下/吾等所遭受的任何損失和損害承擔責任或義務 the share certificate is deposited to your Company at my sole risks and your Company shall not be responsible for or liable in respect of any loss or damage suffered by me/us in connection hereof;
- (ii) 貴公司或其董事、高級職員、僱員及代理人均不對閣下/吾等的任何損失、費用或損害承擔任何責任 neither your Company nor any of its directors, officers, employees or agents shall have any liability whatsoever for any loss, expenses or damages suffered by me/us in relation to the deposit of the above-mentioned share certificate;
- (iii) 向貴公司、其董事、高級職員、僱員和代理人全額賠償或保持全額賠償由存放的股份證書引起的導致的任何損失、費用、索償、債務或開支，包括法律費用 to indemnify and at all times keep your Company and its directors, officers, employees or agents fully and completely indemnified from and against all claims and demands, actions and proceedings, loss and expenses including legal costs and all other liabilities of whatsoever nature or description which may be made or taken or incurred or suffered by you in connection with or in any manner arising out of the deposit of the share certificates.

Client Signature:
客戶簽署: _____

Date:
日期: _____



輝立證券(香港)有限公司

PHILLIP SECURITIES (HONG KONG) LIMITED

EXCHANGE PARTICIPANT OF THE STOCK EXCHANGE OF HONG KONG LTD

Transfer/ Withdraw/ Deposit of Share Certificate Application form

海外轉移/提取/存放股票申請書

帳戶持有人姓名: Account Holder name:		帳戶號碼: Account No.:	
<input type="checkbox"/>	Transfer between two exchanges as the stock are dual listed and client intend to transfer 股份將同時於兩個交易所上市·而客戶欲轉移至另一交易所		
<input type="checkbox"/>	Corporate action announced by the listed company, client intend to follow and appoint Phillip Securities (Hong Kong) Limited ("PSHK") as the agent to handle. 跟據上市公司公報公司行為·客戶欲跟隨及指派輝立證券有限公司作為代理人跟進		
<input type="checkbox"/>	Other: 其他:		
I/We hereby instruct PSHK to Transfer/ Withdraw/deposit the following shares/stocks 本人/吾等特此通知輝立證券·基於上述原因·本人要求將下列股票轉移/提取/存放(請選擇適合項目):			
<input type="checkbox"/> 轉移 Transfer		<input type="checkbox"/> 提取 Withdraw	<input type="checkbox"/> 存放 Deposit
上市公司名稱及代號 Name and code of Listed Company:	現存 Existing:	轉至(如適用) New (If available):	
交易所名稱 Name of Exchange:	現存 Existing:	轉至(如適用) New (If available):	
交易所的所在地區 Jurisdiction of Exchange:	現存 Existing:	轉至(如適用) New (If available):	
總數 Total Quantity:			

本人/吾等確認及同意:

轉移或提取或存放外國股票由/至另一經紀商/交易所/結算所·包括但不限於以實物或電子形式的股票·因過程繁複及牽涉多方手續·輝立證券將盡力但不保證有關指示的完成日期;及

經輝立證券執行上述指示·並同意本公司或其董事、高級職員、僱員及代理人均不對本人/吾等由於任何延遲導致的任何損失、費用或損害承擔任何責任。

I/ We acknowledge and agreed:

to transfer/ withdraw or deposit foreign stock from/ to another broker/ exchange/ clearing house, including but not limited to physical or electronical share, as it may involve complicated and multi-parties procedure, PSHK will use all reasonable endeavours to expedite but would not guarantee the completion date, and;

to appoint PSHK to execute the aforementioned instruction, and agree the aforementioned arrangement, and agree neither PSHK nor any of its directors, officers, employees or agents shall have any liability whatsoever for any loss, expenses or damages suffered by the I/ We as a result of delay on executing the aforementioned instruction.

客戶簽署 Client Signature:	日期 Date:
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備註: 此表格並不能處理將股票轉移至另一證券保管人。需額外提供另一指示表格。
Remark: This form do not handle the stock transfer to other custodian. A separate instruction is needed to provide the delivery instruction.