

Professional Investor's Grade Based Margin Interest Plan ("PI GBM Plan")  
Application Form

**“專業投資者 - 分層利率優惠計劃”申請表**

Account Number: 客戶號碼	AE Code: 經紀號碼
Client Name: 客戶名稱	Sales representative Name: 銷售代表名稱

\*Only applicable to valid professional investor with margin account. 僅適用於專業投資者孖展證券賬戶

I / We apply for above captioned margin securities account to use the "PI GBM Plan" and have read and agreed to the terms and arrangements of the scheme:

本人/吾等申請其港股戶口使用“專業投資者 - 分層利率優惠計劃”，並已細閱及同意此計劃內之條款及安排：

<http://www.poems.com.hk/en-us/product-and-service/grade-based-margin/#pigbm>

Clients must submit supporting documentation to the Company to confirm that the depository payment is from his/her own bank account. Acceptable documentation includes, but is not limited to, bank statements, cheque images, and online banking screenshots. The deposit will be credited to the Client account on the day that supporting documentation is provided. Until the supporting documentation is submitted, the deposit will not be treated as "credited". The daily cut-off time for collecting the supporting documentation is 4:00 p.m., any supporting documentation received after 4:00 p.m. will be deemed to be received on next working day. Clients will be liable for any relevant interest, commission, fines and other charges incurred as a result of not being "credited".

客戶須向本公司提交存款憑證，以確認該款項是來自客戶本人的銀行賬戶。憑證包括但不限於銀行結單、支票圖像、網上銀行截圖等。直至客戶提供該存款的相關憑證，則該存款才被視作為提供存款憑證當天的存款。截止收取存款憑證的時間為 每天下午四時正，任何下午四時後收到的存款憑證將當作下一個工作天的存款。在未有提交存款憑證前，該存款 將會被視作 “未入賬” 狀態，因而令閣下需要承擔因為 “未入賬” 而導致的相關利息，佣金計算，罰款和其他收費。

**DECLARATION 聲明**

I / We understand that I / we must be registered as Professional Investor to join the "PI GBM Plan".

本人/吾等明白需要成為專業投資者才可參加“專業投資者 - 分層利率優惠計劃”。

I / We have read and agree the notice of the content of Section 5 under <Margin Client Agreement>:

本人/吾等細閱並同意本公司於客戶協議書附表五<孖展客戶協議>段落內容：

<http://www.poems.com.hk/media/516376/chicashagreement.pdf>

I / We confirm that the contents of this declaration and agree that the information in this declaration is true and complete.

本人/我們確實了解以上聲明之內容，並承諾本聲明書之內容均為真實及完整。

\_\_\_\_\_  
Client Signature 客戶簽署

Client Name 客戶姓名:

Account Number 賬戶號碼:

Date 日期:

PI GBM 202204 v1

## DECLARATION BY REGISTERED PERSON 註冊人聲明

I, being a sales representative of PSHK, hereby declare that I have explained the contents of this Declaration of being Professional Investor to the client(s). I have assessed and am reasonably satisfied that the client is knowledgeable, has sufficient expertise in relevant products and markets and has awareness of the risks involved in trading in the relevant products and markets. I confirm that a copy of this completed Form has been provided to the client for record.

本人乃輝立証券(香港)有限公司的業務代表。本人藉此聲明，本人已經將此授權書的內容向該客戶解釋。本人亦已經評估及合理地信納該客戶對有關產品及市場有豐富的認識及具備足夠的專業知識，並充分瞭解有關產品及在相關市場上涉及的風險。本人確認已向客戶提供了一份已填妥的表格以供記錄

Confirmation by sales representative of PSHK

銷售代表確認

Please select the medium of communication to explain this Form to client and he/she agrees to the content contained therein.

請選擇您客戶解釋本表格，及客戶同意其中的內容的方式。

Face By Face 面對面會面

By phone 電話

The details of the tape recorded conversation are as follow 錄音保存的詳細情況如下：

Date and time: \_\_\_\_\_ Client's phone no.: \_\_\_\_\_ Sales representative phone no.: \_\_\_\_\_

日期及時間

客戶電話號碼

銷售代表電話號碼

\_\_\_\_\_  
Sales representative Signature 銷售代表簽署

Sales representative Name 銷售代表姓名

AE Code 經紀號碼:

Date 日期:

For Official Use Only:

PI GBM key in date:

Input by: