

選擇計劃及收費詳情表格  
PLAN SELECTION AND CHARGES FORM

Please tick ✓ a box below to select the plan  
請於空格內加上✓號選擇收費計劃

☐ Plan A

收費 Charges	
管理費 Management Fee	每年資產淨值的 % (Net Asset Value Annually)
交易佣金 Trading Commission	交易金額的 %，印花稅，交易微費，交易費及中央結算費
表現費 Performance Fee	%
計劃期限 Contract Period	沒有 No Contract Period
贖回費 Withdrawal	沒有 No Withdrawal Fee

投資組合選擇 Portfolio Selection and Declaration

投資經理會根據客戶的風險承受能力(需參閱客戶最近的風險承受能力問卷結果) 為客戶選擇 / 設計 適合其風險承受能力的投資組合。投資組合的整體風險水平需等於 或 低於 客戶的風險承受能力。

I/We understand that the Portfolio Manager recommends a portfolio to me/us based on my/our risk tolerance level as determined by my/our latest Risk Profile Questionnaire (“RPQ”). The overall risk level of the portfolio is equal to/lower than my/our risk tolerance level.

客戶風險承受能力 Client Risk Tolerance Level	客戶投資組合選擇 Client Portfolio Selection
<input type="checkbox"/> 低 Low	<input type="checkbox"/> 低 Low
<input type="checkbox"/> 中 Medium	<input type="checkbox"/> 中 Medium
<input type="checkbox"/> 高 High	<input type="checkbox"/> 高 High
風險承受能力問卷完成日期 Completion Date of RPQ:	
所選投資組合的名稱 Name of the Portfolio Selected:	

**注意事項 Notes:**

- 首個季度的管理費將以投資資產存入投資經理戶口之日起按比例支付。隨後的管理費將於每季度的首天支付。  
(管理費 = 管理費的比率 x 上季度最後一天的資產淨值)  
Management Fee will be paid pro rata for the first quarter on the date on which the Investment Assets are deposited with the Investment Manager, and on the first day of each subsequent quarter. (Management Fee = % of management fee x Net Asset Value of the last day in pervious quarter)
- 表現費將以投資資產存入投資經理戶口之日起的首個週年到期日支付；如客戶於週年到期日前贖回投資資產，需於贖回日支付表現費。  
Performance Fee will be paid on the first anniversary of the date on which the Investment Assets are deposited with the Investment Manager, or if the Client withdraws the Investment Assets, on the Withdrawal Date.
- 贖回費 Withdrawal Fee:
  - 如客戶於計劃開始日後的一年內贖回投資資產，贖回費將為資產淨值的 2% 減去所有表現費。  
Where withdrawal date falls within one year of the Commencement Date, the Withdrawal Fee shall be 2% of the Asset Value, less any Performance Fee.
  - 如客戶於計劃開始日後的一年至兩年內贖回投資資產，贖回費將為資產淨值的 1.5% 減去所有表現費。  
Where withdrawal date falls between one year and two years of the Commencement Date, the Withdrawal Fee shall be 1.5% of the Asset Value, less any Performance Fee.
  - 如客戶於計劃開始日後的一年至三年內贖回投資資產，贖回費將為資產淨值的 1% 減去所有表現費。  
Where withdrawal date falls between two years and three years of the Commencement Date, the Withdrawal Fee shall be 1% of the Asset Value, less any Performance Fee.
  - 如客戶於計劃開始日後的一年至四年內贖回投資資產，贖回費將為資產淨值的 0.5% 減去所有表現費。  
Where withdrawal date falls between three years and four years of the Commencement Date, the Withdrawal Fee shall be 0.5% of the Asset Value, less any Performance Fee.
  - 如客戶於計劃開始日起滿四年後贖回投資資產，則無需支付贖回費。  
No Withdrawal Fee is payable if the withdrawal date falls after four years of the Commencement Date.
- 所有費用皆不予退還。  
All fees are non-refundable.

**金錢收益披露聲明 Disclosure on monetary benefits:**

- 輝立資本管理和其有聯繫者-輝立證券(香港)有限公司將會收取以下金錢收益或可賺取的銷售利潤  
The Company and its associate – Phillip Securities (HK) Limited will receive the following monetary benefits or trading profits from intermediaries and/or product issuer

投資產品種類 Type of investment product	金錢收益或可賺取的銷售利潤 Monetary benefits receivable or trading profits
債券 Bonds	可賺取的銷售利潤: 最高為有關交易的投資金額的 1% Trading profits: Up to 1% of the investment amount of the transaction
基金 Funds	首次交易費用回佣: 最高為認購金額的 1% 服務佣金: 最高為基金年度管理費的 50% 最高為基金年度維持費的 100% Initial commission rebate: Up to 1% of the subscription amount Trailer fee: Up to 50% of a fund's annual management fee Up to 100% of a fund's annual maintenance charge (as defined by Fund House)

- 投資經理如持有第 1 類證券交易牌照，將從交易商-輝立證券(香港)有限公司處獲取不多於 60% 的交易佣金  
The Investment Manager whereby as a holder of a RA1 license will receive up to 60% of the trading commission from the Broker - Phillip Securities (HK) Limited

本人明白及同意以上收費詳情。

BY SIGNING THIS FORM, I UNDERSTAND AND ACCEPT THE PLAN AND ITS DETAILS THAT I HAVE SELECTED ABOVE.

客戶簽署  
Client Signature: \_\_\_\_\_

日期  
Date: \_\_\_\_\_

客戶姓名  
Client Name: \_\_\_\_\_

批核  
Approved By: \_\_\_\_\_