

**Louis Mandate (Medium):**

風險承受程度: 中

**Risk Profile:** Medium

**一、投資目標:****I: Investment Objectives:**

雖然預期主要於香港市場進行投資，但本公司旨在以世界視野使相關投資在資本及收入兩方面均達到中期增長。此種混合特色可吸引希望定期獲得收入支付既定持續開支之餘亦可寄望投資組合有機會升值從而累積財富之投資者。

Although it is expected to invest mainly in the Hong Kong market, the Company aims to achieve medium-term growth in both capital and income from related investments with a global perspective. This hybrid feature appeals to investors who want regular income to cover established ongoing expenses while also hoping that their investment portfolio will appreciate in value and thereby build wealth.

基準指數: 恒生指數。

Benchmark Index: Hang Seng Index.

## 二、投資策略:

### II: Investment Strategies:

本公司本著投資增值之理念挑選投資產品投資，由此需要仔細分析投資產品之基本價值及增長前景，以達到本公司之目標為任務。

The Company selects investment products based on the concept of investment value-added. Therefore, it is necessary to carefully analyze the basic value and growth prospects of investment products in order to achieve the company's goals.

本公司將對投資產品給予個別的風險評級，而風險評級標準則基於基本分析及量化分析評估，以較高者為準，亦會定期回顧及修訂。投資組合整體風險評級應與客戶所能承受的風險相符或以下。

The Company will assign individual risk ratings to investment products, and the risk rating standards are based on fundamental analysis and quantitative analysis and evaluation, whichever is higher, and will be reviewed and revised regularly. The overall risk rating of the investment portfolio should be in line with or below the risk that the client can bear.

選股可採取由上而下或由下而上之方法，視地區及行業之增長潛力而定。

Stock selection can take a top-down or bottom-up approach, depending on the growth potential of the region and industry.

若投資於首次公開招股活動，首次公開招股活動的股票之風險評級將默認為高。

If investing in an Initial Public Offering, the risk rating of the Initial Public Offerings stocks will be high by default.

投資組合將予以積極地管理，而並非被動地仿照市場加以組合。

Portfolios will be actively managed rather than passively mirror the market.

現時本公司之投資政策訂明，當股價從首次買入價下跌 30%須進行止蝕評估。

The company's current investment policy stipulates that a stop-loss assessment must be conducted when the stock price drops 30% from the initial purchase price.

### 三、容許投資:

#### **III: Approved Investment:**

**資產類型:** 股本證券 (股票、交易所買賣基金)(佔總資產不多於 95%)、單位信託基金(佔總資產不多於 50%)、債券(佔總資產不多於 50%)、現金及現金等價物(佔總資產不多於 10%)。

**Asset Class:** Equities (Stock, Exchange-Traded Funds) (not more than 95% of the total assets), Unit Trust (not more than 50% of the total assets), Bonds (not more than 50% of the total assets), Cash and Cash Equivalents (not more than 10% of the total assets)

**備註:** 基於短期、流動性強、易於可轉換為已知金額的現金，且價值變動風險很小等條件，貨幣市場基金，包括輝立資本貨幣市場基金或同類型的貨幣市場基金將被視為現金等價物，並且輝立資本之投資經理或因應客戶的最佳利益而選擇投資包括輝立資本貨幣市場基金在內的此類產品。

**Note:** Based on the conditions of being short-term, high liquidity, easily convertible into known amounts of cash, and with a small risk of value changes, Money Market Funds, including Phillip Money Market Fund or similar types of Money Market Funds, will be considered Cash Equivalents. Phillip Capital's investment managers may choose to invest in such products, including Phillip Money Market Funds, in the best interests of their clients.

**地區分布:** 香港

**Geographic Spread:** Hong Kong

**投資年期:** 1-3 年

**Investment Horizon:** 1-3 years

**四、投資限制:**

**IV: Investment Restriction:**

4a: 只能投資於保證金比率在 30%或上之證券，而證券投資之保證金比率由輝立證券(香港)有限公司提供。

4a: Only invest those securities whose Margin Ratio is equal or larger than 30%. The Margin Ratio is provided by PSHK.

4b: 每隻股票初始權重不得超過 30%。假若之後因股價變動超過，將會在 30 曆日內重新調整，以將權重維持在該限額內。

4b: The initial weight of each equity will not exceed 30% of the investment portfolio. Rebalancing will be conducted within 30 calendar days to maintain the weight within this limit.

4c: 股票期權: 不容許任何期權交易。

4c: Stock option: No option trading is not allowed.

4d: 如沒有合理理由，每天交易次數不得超過 10 宗; 每月交易次數不得超過 30 宗。

4d: Without a credible reason, the number of transactions per day shall not exceed 10, and the number of transactions per month shall not exceed 30.

4e: 香港投資移民客戶除以上限制外，亦會根據「香港特別行政區 — 政府入境事務處」香港投資移民的資格準則內的「獲許投資資產類別」之規定作為投資限制。

4e: In addition to the above restrictions, the client who is under Hong Kong Capital Investment Entrant Scheme also be subject to investment restrictions based on the “Permissible Investment Asset Classes” in the “Hong Kong Special Administrative Region – Government Immigration Department” Hong Kong Investment Immigration Eligibility Criteria.

## 五、風險披露:

### **V: Risk Disclosures:**

雖然輝立資本管理(香港)有限公司每位投資經理以合理謹慎之判斷協助其作出投資決定，但股市及金融市場存在固有之多種風險，如市場風險，流通風險、結算風險、利率風險、匯率風險等，此等風險皆為大部分信心十足之投資經理無法避免，因此，投資者應預計面對及承受若干程度之風險。

Although each investment manager of Phillip Capital Management (HK) Limited uses reasonable and prudent judgment to make investment decisions, there are many inherent risks in the stock market and financial markets, such as market risk, liquidity risk, settlement risk, exchange rate risk, etc. These risks cannot be avoided by the most confident investment managers and therefore investors should expect to face and accept a certain degree of risk.

投資組合之價值可升可跌，由於本公司所管理之任何組合並非資本保持或資本保證投資，在最壞之情況下，投資組合可失去所有價值。

The value of an investment portfolio may rise as well as fall, and since any portfolio managed by the Company is not a capita-preserving or capital-guaranteed investment, in the worst case, the investment portfolio may lose all value.

不願冒險之投資者應當從本身之投資目標及經驗、財政狀況等方面慎重考慮以上所述後始行落實任何投資計劃。

Investors who are unwilling to take risks should carefully consider the above from their own investment objectives, experience, financial situation, etc. before implementing any investment plan.

以上所述僅為指引，旨在讓投資者瞭解本公司如何管理投資組合。然而，組合之大小影響本公司實行策略之成效，不單影響目標之達成，亦會對本公司所管理之組合產生額外風險。

The above is a guide only and is intended to provide investors with an understanding of how the Company manages its investment portfolio. However, the size of the portfolio affects the effectiveness of the company's strategies. It not only affects the achievement of goals but also creates additional risks for the portfolio managed by the Company.

## 六、關鍵管理人員:

### **VI: Key Management Personnel:**

與投資組合項下資產有關之日常投資決策，應由下列管理人之持牌代表作出或根據該持牌代表之指示作出，而該持牌代表為管理人之僱員或代理人:

- 持牌代表姓名: 黃瑋傑 (中央編號: AAG279)

Daily investment decisions relating to the assets under the portfolio shall be made by or under the instructions of a licensed representative of the Manager, and the licensed representative is an employee or agent of the Manager.

- Licensed representative: Wong Wai Kit, Louis (CE No. AAG279)